

AF/2823  
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ATTORNEY DOCKET NO: AGX-37

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Shooshtarian, et al.	)	Group Art Unit:	2823
Serial No:	09/527,873	)	Examiner:	Hsien Ming Lee
Filed:	March 17, 2000	)	Our Account No:	04-1403
Confirmation No:	4182	)	Customer No:	22827
Title:	Localized Heating And Cooling Of Substrates	)		

Commissioner for Patents  
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The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. [x] Attached hereto is:

a. [x] A list of materials for consideration per Rule 98(a)(1): 1 page(s)b. [x] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):  
1 item(s)c. [ ] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: \_\_\_\_\_

[ ] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2. [x] This Information Disclosure Statement is being filed [CHECK ONE]:

a. [ ] WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.b. [x] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:i. [ ] Certification per Rule 97(e); OR

ii. [x] Filing Fee per Rule 17(p) .....\$180.00

c. [ ] AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:i. Certification per Rule 97(e); AND

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3. [ ] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

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Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: AGX-37	Serial Number: 09/527,873
	Applicant: Shooshtarian, et al.	
	Filing Date: March 17, 2000 Confirmation No: 4182	Group Art Unit: 2823

## NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

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Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS												
EXAMINER INITIALS	PATENTEE NAME				PATENT NUMBER				ISSUE DATE	COPY NOTE		
	Nakos, et al.				5	2	2	6	7	3	2	07/13/1993

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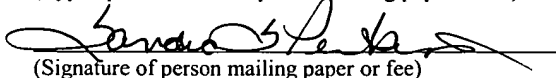
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